

Investment Fund Application

1 Your Personal Details *This Section is compulsory (BLOCK CAPITALS PLEASE)*

Title: _____ Surname: _____
 Full Forename(s): _____
 Permanent Residential Address: _____

 Postcode: _____ Length of time at address: _____
 Daytime Tel No.: _____
 Email Address: _____
 Nationality: _____ Tax Identification Number: _____

Date of Birth - -

Account designation

We can register up to 4 holders.

Please provide details separately as outlined in Section 1 for each additional holder.

IFA Details/Special Instructions

Ref. No. _____ (For official use only)

2 Your Choice of Investment

Please indicate the amount you wish to save in each sub-fund and enter your total investment in the boxes below.

	Lump Sum Min £1000 per fund	Monthly Payment ¹ Min £50 per fund		Lump Sum Min £1000 per fund	Monthly Payment ¹ Min £50 per fund		Lump Sum Min £1000 per fund	Monthly Payment ¹ Min £50 per fund
American ²	£	£	Global Equity ^{††††}	£	£	Long-Term Global Equity ^{**}	£	£
Asian Income	£	£	Global Higher Income	£	£	Managed	£	£
Balanced	£	£	Global High Yield Bond ^{††}	£	£	Oriental	£	£
Cautious Managed ^{†††}	£	£	Global Opportunities	£	£	Pan-European	£	£
Continental European	£	£	Global Strategic Bond [*]	£	£	Phoenix Multi-Asset	£	£
Corporate Bond	£	£	Higher Income	£	£	Real Return A [†]	£	£
European Higher Income	£	£	Income	£	£	UK Opportunities	£	£
Global Dynamic Bond	£	£	International Bond	£	£	Your Total Investment	£	£

Notes: *The investment adviser of the BNY Mellon Global Strategic Bond Fund is Standish Asset Management LLC. **The investment adviser of the BNY Mellon Long Term Global Equity Fund is Walter Scott and Partners Limited. The investment adviser of all other Sub-funds is Newton Investment Management Limited. † From 30 July 2004 until 30 June 2009 the Fund was called Newton Absolute Intrepid Fund. †† On 30 October 2009 the Newton European High Yield Bond Fund changed its name to the Newton Global High Yield Bond Fund. ††† From 31 January 1994 until 29 January 2010, the Fund was called Newton Equity & Bond Fund. †††† On 30 January 2012 the Newton International Growth Fund changed its name to the Newton Global Equity Fund. Income will automatically be reinvested to maximise your investment unless Section 4 overleaf is completed. No initial charge will be applied on the reinvestment of income. For Newton Phoenix Multi-Asset Fund, accumulation shares will be issued unless section 4 overleaf is completed.

1. For monthly contributions in a Regular Savings Plan, any income due must be reinvested. In the case of Newton Phoenix Multi-Asset Fund, accumulation shares will be used. Please ensure you complete the Direct Debit Mandate (see Section 3 below) and send us your first payment by cheque drawn on your account from which all future direct debits are to be collected. Unless otherwise stated, investments will be made into the Sterling Share Classes.

2. As at 30 January 2012, The Boston Company Asset Management, LLC replaced Newton Investment Management Limited as the investment adviser to the sub-fund, which also changed its name from Newton American Fund to BNY Mellon American Fund on the same date.

Client classification - Under the FSA's Conduct of Business rules we are required to classify our investors. We have classified you as a Retail Client unless otherwise notified. This means that you will have the maximum amount of protection available for complaints and compensation, and will receive information in a straightforward way. However some clients, such as professional investors, may not necessarily have the same rights under the Financial Ombudsman Service and the Financial Services Compensation Scheme. Further details may be found on our website - www.bnymellon.com under Client Classification.

Please ensure that you **sign and date** the declaration **overleaf**

3 Instruction to your Bank or Building Society to pay Direct Debits

Please complete parts A to D to instruct your Bank or Building Society to make payments directly from your account

to: BNY Mellon Fund Managers Limited, FREEPOST NAT22500, Brentwood, CM15 8BR.



A. Full name and postal address of your Bank or Building Society:

The Manager, _____

 Postcode: _____

Building Society Account No.:
 Building Society Collection Account No.:
 Originator's Identification Number: 9 0 1 5 1 0
 Originator's Reference (office use only)

B. Name(s) of Account Holder(s)

D. Instruction to your Bank or Building Society and signature(s)
 Please pay BNY Mellon Fund Managers Limited Direct Debits from the account detailed on this instruction, subject to the safeguards assured by the Direct Debit Guarantee (see overleaf). I understand that this instruction may remain with BNY Mellon Fund Managers Limited, and if so, details will be passed electronically to my Bank or Building Society.

C. Bank Account No.:
 Bank Sort Code:

Signature: _____ Date: _____
 Signature: _____ Date: _____

4 Do you want to receive income from your investment?

Income will be automatically reinvested if this Section is **not** completed. Please pay the income to my Bank/Building Society account as follows:

Bank/Building Society:	Bank Sort Code	<input type="text"/>	-	<input type="text"/>	-	<input type="text"/>
Address:	Bank Account No.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Postcode:	Building Society Account No.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Name(s) of Account Holder(s)	Building Society Collection Account No.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

5 Investment advice

If you have not received any investment advice with regards to this investment, please tick this box .
Please leave the box blank if you have received investment advice from a financial advisor.

6 Declaration *(Any mistakes made when completing the form should be corrected and initialled)*

I declare that I am/we are over 18 and this Application is made upon and subject to the terms of the BNY Mellon Investment Funds Prospectus.

I/We hereby confirm that we are not a US Person, we are not acquiring Shares directly or indirectly for the benefit of a US Person and we do not intend to sell or transfer any Shares which we may purchase to or for the benefit of any person who is a US Person.

Unless we are a professional fiduciary acting in a discretionary capacity for the account of a non-US Person, I/We hereby confirm that the Prospectus has been made available, any offer of Shares has been made, this application has been transmitted and any authorisation to apply for Shares has been signed or given (as applicable) whilst we were outside the United States.

By signing and returning this Application Form, I can confirm that I have received and read the current Simplified Prospectus and Data Protection Statement, in order to make an offer to purchase shares in a sub-fund of BNY Mellon Investment Funds.

Signature:	Date:	Please note these fields are mandatory
Signature:	Date:	

To be completed by your financial advisor if applicable: Client Agreement Execution Only

Financial advisors – please tick to apply: Cancellation Rights

Please send your completed Application Form together with your cheque, drawn on your account from which all future direct debits are to be collected, (where applicable) made payable to **BNY Mellon Fund Managers Limited** to: Share Dealers, BNY Mellon Fund Managers Limited, FREEPOST NAT22500, Brentwood, CM15 8BR. Please do not staple cheques or correspondence to the Application Form. Additionally, any payments where the underlying bank account holder is not named (e.g. banker's drafts, building society cheques etc.) must be endorsed with the underlying bank account holder's name and address details.

BNY Mellon Fund Managers Limited is the Authorised Corporate Director (ACD) of the Company. The ACD is responsible for managing and administering the Company's affairs in compliance with FSA Regulations and the Treasury Regulations. The ACD will delegate investment management to one or more of its affiliated specialist investment boutiques.

Data Protection Act 1998 - Use of your information

BNY Mellon Fund Managers Limited ("BNY MFM") is a data controller within the meaning of the Data Protection Act 1998. BNY MFM and its Associates (as defined in Section 52 of the Companies Act 1989) may use the personal information supplied to provide the service applied for, confirm/update customer records and to establish your identity. BNY MFM may also be required to transfer personal information to the HMRC from time to time in order to process tax claims. BNY MFM may also be required to provide personal information to other government departments e.g. Department of Works & Pensions. To comply with Anti-Money Laundering Regulations we may need to request evidence of identity from you. We may use a credit reference agency for this purpose who will record that an enquiry has been made. You have the right of access to your personal data held by BNY Mellon Fund Managers Limited, at any time, by contacting us in writing and upon payment of a fee. Please note that any redemption or cancellation proceeds may be withheld if the appropriate verification has not been provided. We would like to advise you, by mail and/or email, of other products and services being offered by us and our affiliated companies which may be of interest to you. If you do not wish to receive such information please tick the box . BNY Mellon Fund Managers Limited. Authorised and regulated by the Financial Services Authority. A member of the IMA. Sub-funds are distributed by BNY Mellon Asset Management International Limited.

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The Direct Debit Guarantee

- This guarantee is offered by all banks and building societies that take part in the direct debit scheme. The efficiency and security of the scheme is monitored and protected by your own bank or building society.
- If an error is made by BNY Mellon Fund Managers Limited or your bank or building society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- If the amounts to be paid or the payment dates change, BNY Mellon Fund Managers Limited will notify you in writing before the new collection is made.
- You can cancel a direct debit at any time by writing to your bank or building society. Please also send a copy of the letter to BNY Mellon Fund Managers Limited.